

Docket No.: JA997028

#412173
PATENT 3/17/04
meh

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: **Yoshida**

Assignee:

**International Business
Machines Corporation**

Application No.: **09/040,539**

Customer No.: **24852**

Confirmation No.: **1941**

Group Art Unit: **2173**

Filing Date: **3/17/1998**

Examiner: **Ba, Huynh**

Title: **WINDOW DISPLAY DEVICE AND METHOD, AND RECORDING MEDIUM RECORDING A
WINDOW DISPLAY CONTROL PROGRAM**

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that the above paper/fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: December 5, 2003

Person mailing paper/fee: Carla R. Aliberti

Signature: Carla R Aliberti

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Commissioner for Patents
P.O. Box 1450
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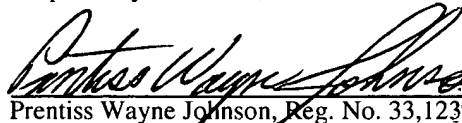
Dear Sir:

Please revoke the existing Powers of Attorney, if any, and appoint the following attorneys and/or patent agents to prosecute this application and to transact all business in the U.S. Patent and Trademark Office in connection therewith. (**SEE ATTACHED** Certificate under 37 CFR 3.73(b)):

Romualdas Strimaitis, Reg. No. 35,697; Prentiss W. Johnson, Reg. No. 33,123; Ingrid M. Foerster, Reg. No. 36,511; Gregory M. Plow, Reg. No. 43,005; Farrokh E. Pourmirzaie, Reg. No. 45,297; Christine H. Smith, Reg. No. 43,133; Karna J. Nisewaner, Reg. No. 50,665; Christopher A. Hughes, Reg. No. 26,914; John E. Hoel, Reg. No. 26,279; Stanley B. Green, Reg. No. 24,351; and Joseph C. Redmond, Jr., Reg. No. 18,753, all of whom are attorneys with **International Business Machines Corporation**

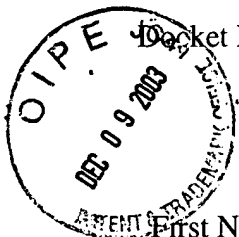
Please direct all correspondence in this application to CUSTOMER NUMBER: 24852

Respectfully submitted,



Prentiss Wayne Johnson, Reg. No. 33,123
International Business Machines Corporation
555 Bailey Avenue, IPLaw, J46/G467
San Jose, CA 95141-9989
Tele: 408.463.5673; Fax: 408 463.4827

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Docket No.: JP997028

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: **Yoshida** Assignee: **International Business Machines Corporation**
Application No.: **09/040,539** Customer No.: **24852**
Confirmation No.: **1941** Group Art Unit: **2173**
Filing Date: **3/17/1998** Examiner: **Ba, Huynh**
Title **WINDOW DISPLAY DEVICE AND METHOD, AND RECORDING MEDIUM
RECORDING A WINDOW DISPLAY CONTROL PROGRAM**

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CERTIFICATE UNDER 37 C.F.R. §3.73(b) Technology Center 2100

INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation organized and existing under the laws of the State of New York, having a place of business at New Orchard Road, Armonk, New York 10504, certifies that it is the assignee of the entire right, title, and interest in the patent application identified above by virtue of:

An assignment from the inventors, Akira Yoshida, to International Business Machines Corporation, of the patent application identified above. The assignment was recorded in the U.S. Patent and Trademark office on **6/12/1998**, at Reel/Frame **9269/0466 (copy attached)**.

INTERNATIONAL BUSINESS MACHINES CORPORATION owns 100% interest in the above-identified patent application.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, as the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: December 5, 2003

By: *Prentiss Wayne Johnson*

Prentiss Wayne Johnson, Reg. No. 33,123
Senior Attorney, Intellectual Property Law
International Business Machines Corporation
555 Bailey Ave., J46/G467
San Jose, CA 95141-9989



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
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Washington, D.C. 20231

SEPTEMBER 08, 1998

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IBM CORPORATION
C/O PRENTISS W. JOHNSON
INTELLECTUAL PROPERTY LAW
555 BAILEY AVENUE, (J46/G467)
SAN JOSE, CA 95141-1003



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JA997028

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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE
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RECORDATION DATE: 06/12/1998

REEL/FRAME: 9269/0466

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
YOSHIDA, AKIRA

DOC DATE: 05/21/1998

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ASSIGNEE:
INTERNATIONAL BUSINESS MACHINES
CORPORATION
OLD ORCHARD ROAD
ARMONK, NEW YORK 10504

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SERIAL NUMBER: 09040539
PATENT NUMBER:

FILING DATE: 03/17/1998
ISSUE DATE:

MAYA BENNETT, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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SEPTEMBER 08, 1998

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DEPOSIT ACCOUNT NUMBER: 09-0460

PROPERTY NUMBER: 09040539

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FEE CODE: 581 AMOUNT: 40

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TRADEMARK SERVICE FEES:	FEE CODE:
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LABOR CHARGES FOR SERVICES PER HOURS OR FRACTION THEREOF	484
UNSPECIFIED OTHER SERVICES	485

PATENT SERVICE FEES:

RECORDING EACH PATENT ASSIGNMENT,	581
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AGREEMENT, OTHER PAPER, PER PROPERTY	
LABOR CHARGES FOR SERVICES PER HOUR	584
(\$30) OR FRACTION THEREOF	
UNSPECIFIED OTHER SERVICES	585

07-01-1998

DOCKET: JA9-97-028

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US Department of Commerce
Patent & Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Akira Yoshida

Additional name(s) of conveying
party(ies) attached ☐ Yes ☒ No

*
* 2. Name and address of receiving party(ies):
*

Name: International Business
Machines Corporation

Street Address: Old Orchard Road
City: Armonk State: NY ZIP: 10504

*
* Additional name(s) & address(es) attached?
* ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

Execution Date: May 21, 1998

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4. Application number(s) or patent number(s): 09/040,539

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No. (s)

* B. Patent No. (s)
*
*

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom
correspondence concerning document
should be mailed:

Name: IBM Corporation
Internal c/o Prentiss W. Johnson
Address: Intellectual Property Law
Street
Address: 555 Bailey Avenue, (J46/G467)
San Jose, CA 95141-1003

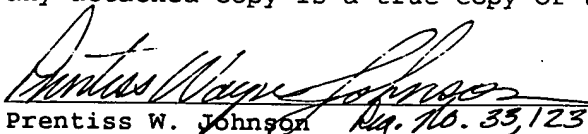
*
* 6. Total Number of applications and patents
* involved: One
*

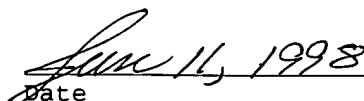
* 7. Total fee (37 CFR 3.41).....\$ 40.00
* ☐ Enclosed
* ☒ Authorized to be charged to
* deposit account
*

* 8. Deposit Account Number: 09-0460
* (Attach copy of this page)
*

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.


Prentiss W. Johnson Reg. No. 35,123


Date June 11, 1998

Total number of pages including cover sheet, attachments, and document: 16/

In re application of:
Akira Yoshida

Serial No.: 09/040,539

Filed: 03/17/98

Group Art Unit: 2774

Title: WINDOW DISPLAY DEVICE AND METHOD, AND RECORDING MEDIUM RECORDING A WINDOW
DISPLAY CONTROL PROGRAMASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

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Transmitted herewith in the above-identified application are:

<input type="checkbox"/> sheets of informal drawing(s)	<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Amendment	<input type="checkbox"/> Notice to File Missing Parts (\$130.00)
<input type="checkbox"/> Letter to Examiner	<input type="checkbox"/> Declaration and Power of Attorney
<input type="checkbox"/> Letter to Draftsman	<input checked="" type="checkbox"/> Assignment of the Invention to IBM (\$40.00)
<input type="checkbox"/> Notice of Appeal (\$280.00)	<input type="checkbox"/> Petition/Fee for Ext. of Time (\$.00)
<input type="checkbox"/> Appeal Brief (\$280.00)	<input checked="" type="checkbox"/> Recordation Cover Sheet (in duplicate)
<input type="checkbox"/> No additional fee is required	<input checked="" type="checkbox"/> Return Postcard

The fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE
TOTAL	MINUS 20	= 0	x 22 =	\$.00
INDEP.	MINUS 3	= 0	x 82 =	\$.00
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			+270 =	\$
			Surcharge	\$
			TOTAL	\$

☒ Please charge my Deposit Account No. 09-0460 in the amount of \$40.00.
A duplicate copy of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0460. A duplicate copy of this sheet is attached.

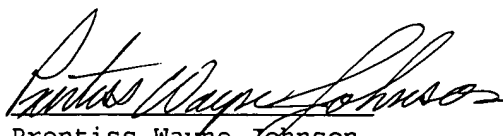
☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims
☒ Any patent application processing fees under 37 CFR 1.17.

CERTIFICATE OF MAILING

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June 12, 1998

Person Mailing paper/fee
Dana Angel Asis

Signature



Prentiss Wayne Johnson
Registration No. 33,123
Attorney for Applicant(s)
Telephone (408) 463-5673
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555 Bailey Avenue
San Jose, CA 95141-1003

09040539
00000055 090460
06/29/1998 TTON11
40.00 CH
01 FC:581

ASSIGNMENT

Docket No. JA997028

Whereas, I

INVENTOR
AND CITY(1) AKIRA YOSHIDA
County ofof Yamato-shi,
and State of Kanagawa-ken,

have invented certain improvements in

TITLE

WINDOW DISPLAY DEVICE and METHOD, and RECORDING MEDIUM RECORDING a WINDOW
DISPLAY CONTROL PROGRAMDATES THAT
INVENTORS
SIGNED THEand executed, respectively, a United States patent application therefor on
(1) MAY 21, 1998

DECLARATION

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY
DATE(1) at Yamato-shi
on MAY 21, 1998,Akira Yoshida
AKIRA YOSHIDASIGNATURE
INVENTOR